



RR Global Capital

Leading Stock Market Broker

SEBI Reg. Nos.: - INZ000213626, NSE Member Code – 90153 (NSE Future & Options – F&O)

Registered Office: S-19, Centre Tower, Central Spine, Vidhyadhar Nagar, Jaipur-302039

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Policy for Hiring & Training of Employees

RR GLOBAL CAPITAL has adequate screening procedures in place to ensure high standards while hiring employees. We identify the key positions within the organization structures having regards to the Risk of Money Laundering and terrorist financing activities substantiate to the size of our business. We ensure the employees taking up such key positions are suitably trained and competent to perform their duties.

We conduct ongoing employee training programmed through con calls / webinars / meetings / seminars so that the members of the staff are adequately trained in AML and CFT procedures and amendments thereof. The Training requirement shall have specific focus for frontline staff, back office staff, compliance staff, risk management staff, DP staff and staff dealing with new clients and all other relevant staff, sensitive to AML and CFT activities. It is crucial that all those concerned fully understand the rationale behind these directives, obligations and requirements, implement them consistently and are sensitive to the risks of their systems being misused by unscrupulous elements.

All the staff members are also being circulated with all the latest Regulatory Circulars and the updating on the AML and CFT Procedures, FEMA Regulations and all other relevant circulars as and when issued by the Regulators.

All the AML and CFT procedures will be reviewed on daily basis.

Such meetings would be conducted on regular basis, at a period not less than three months.

Review Policy:

This policy may be reviewed as and when there are any changes introduced by any Statutory authority or as and when it is found necessary to change the policy due to business needs.

The policy may be reviewed by the Managing Director / Compliance Officer place the changes, if any in policy before the Board of Directors .

Approval Authority:

This policy is as approved by the Board of Directors in its Board Meeting held on 01-04-2026.